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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/772,129	02/04/2004	Shaun Hanson	702.103	4273
	7590 06/27/200 DICAL TECHNOLOG	EXAMINER		
5677 AIRLINE	ROAD	COMSTOCK, DAVID C		
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			3733	
			MAIL DATE	DELIVERY MODE
			06/27/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
	10/772,129	HANSON ET AL.
Office Action Summary	Examiner	Art Unit
	DAVID COMSTOCK	3733
The MAILING DATE of this communication ap Period for Reply	ppears on the cover sheet with the	correspondence address
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING ID. - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period. - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATIO .136(a). In no event, however, may a reply be tid d will apply and will expire SIX (6) MONTHS from te, cause the application to become ABANDONE	N. mely filed the mailing date of this communication. ED (35 U.S.C. § 133).
Status		
Responsive to communication(s) filed on 11 (2a) This action is FINAL . 2b) This action is FINAL . Since this application is in condition for allowed closed in accordance with the practice under	is action is non-final. ance except for formal matters, pr	
Disposition of Claims		
4) Claim(s) 1-20 is/are pending in the application 4a) Of the above claim(s) is/are withdra 5) Claim(s) is/are allowed. 6) Claim(s) 1-20 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/ Application Papers 9) The specification is objected to by the Examin 10) The drawing(s) filed on 29 September 2006 is	awn from consideration. for election requirement.	cted to by the Examiner.
Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the E	e drawing(s) be held in abeyance. Se	ne 37 CFR 1.85(a). Dijected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreig a) All b) Some * c) None of: 1. Certified copies of the priority documer 2. Certified copies of the priority documer 3. Copies of the certified copies of the priority application from the International Burea * See the attached detailed Office action for a list	nts have been received. nts have been received in Applicat ority documents have been receiv au (PCT Rule 17.2(a)).	ion No ed in this National Stage
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal I 6) Other:	ate

DETAILED ACTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4 and 7-9 are rejected under 35 U.S.C. 102(b) as being anticipated by Kapandji (FR 2 660 856 A1; cited by Applicant).

Kapandji discloses an implant 1 comprising a stem 20 and a head 4 (see Fig. 2). Holes 26 pass through positions near both ends of the stem and are capable of accepting suture. The head includes a 200 degree arc. Component 30 can be considered a portion of the platform or an extension, and includes holes 27 through which suture could be passed.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kapandji (FR 2 660 856 A1; cited by Applicant) in view of Wack et al. (6,027,534).

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Kapandji discloses the claimed invention except for the bone ingrowth coating. Wack et al. discloses a prosthetic implant 20 and teaches that a bone ingrowth promoting coating can be provided on the device, not only on the shaft thereof, but also on the backside of the main body, e.g. 15, thereof, in order to allow for bone ingrowth, which provides for more secure fixation (see, e.g., Fig. 1 and col. 3, line 65 - col. 4, line 18, esp. col. 4, lines 15-18). It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the implant of Kapandji with a bone ingrowth promoting coating, in view of Wack et al., in order to allow for bone ingrowth and provide more secure fixation of the implant. It is noted that the backside of the head of the device of Kapandji is not an articulation surface and would have been suggested by the disclosure of Wack et al. Even if it had not been disclosed, it would have been obvious to have put the bone ingrowth promoting coating on the back of the head, e.g. to improve fixation of the device, since it has been held that relocation of the features of an invention involves only routine skill in the art. *In re Japikse*, 86 USPQ 70.

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Claims 1-9 and 11-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cooney, III et al. (6,302,915 B1; cited by Applicant) in view of Stubstad (3,745,590; cited by Applicant).

Cooney, III et al. clearly discloses the claimed invention except for the use of suture holes in portions of the device other than the head and except for the head having a through-bore. Stubstad discloses a similar device 10 and teaches attaching a ligature or suture 22 through both the head 11 and the stem 16/platform 19 structure in order to provide a continuity of strength through the prosthesis and resist dislocation of

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the joint to be corrected while still providing unrestricted natural motion (see, e.g. Fig. 2; col. 1, lines 5-11; and col. 2, lines 44-47). It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the implant device of Cooney, III et al. with holes in portions other than the head, such as the stem and platform, in view of Stubstad, in order to provide a continuity of strength through the prosthesis and resist dislocation of the joint to be corrected while still providing unrestricted natural motion. It would have been further obvious to have selected a bore depth in the head within a range resulting in a through bore, e.g. to make the device lighter and/or to provide other anchoring options, since it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. In re Aller, 105 USPQ 233. With regard to the particular locations of the various holes etc. it also would have been obvious to have positioned these at any of various locations on the device, e.g. to address the varying anatomical and physical requirements of different patients and conditions, since it has been held that mere relocation of parts of an invention involves only routine skill in the art. *In re Japikse*, 86 USPQ 70.

Response to Arguments

Applicant's arguments filed 11 June 2008 have been fully considered but they are not persuasive.

In response to applicant's argument that the examiner's conclusions of obviousness are based upon improper hindsight reasoning, it must be recognized that

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any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971). Here, the motivations are derived from the references themselves and from the knowledge generally available to a person of ordinary skill in the art. The motivations are set forth in the rejection.

Applicant's discussion of the cavity in the Kapandji reference still does not change the fact that the reference shows an enlarged portion on the end thereof, i.e. a head. While Examiner agrees that the inner portion of the head has a cavity, this does not in any way change the fact that the outer surface or extent thereof defines a head. In addition, the vertex and adjacent surfaces where the convex portion meets the planar face surrounding the cavity (see, e.g. Fig. 2 and Example below) a "triangulated configuration." It is further noted, regarding the amended claims, that the triangulated configuration never ceases to exist regardless of whether or not it is prominent from a certain point of view.

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Example

Moreover, the head can be considered to be "configured" for mating with a sigmoid notch, at least because the term "mating" requires only that they be capable of least contacting each other. In addition, regarding the intended use of passing sutures through the holes, the device of Kapandji has holes through which suture is capable of being passed.

Regarding the rejection under 35 USC 103, it is noted that Cooney teaches holes as an attachment means; Stubstad is cited as teaching the attachment of the suture at both the head and the stem/platform structure. The way that Stubstad attaches the suture 22 to the device is not material, since it is not being cited for that proposition. It is being cited for the concept of attaching the suture 22 at the noted locations. In addition, in the same way that the Kapandji reference can be seen as showing a "triangulated configuration," so too can the Cooney reference, also at the vertex of the

installation.

planar portion and the convex portion (as exemplified above in the diagram; cf. Cooney Fig. 3A, e.g., the upper left portion). Regarding the motivation to combine and/or modify the references as set forth in the rejection, it is noted that the reason for modifying the combination of references was already set forth in the rejection. Regarding the depth of the bore, beyond the reasons set forth in the rejection, another reason to change it would be to discover an "optimum or workable range" of the same for a given patient or surgical requirement. In the context of a surgical procedure the workable range, for example, can be controlled by patient anatomy or condition or other surgical requirements requiring a modified installation. In any event, it has been held to be obvious to make such modifications and doing so would hardly require a person of ordinary skill in the art to have to consult the Applicant's disclosure to understand how or why to change the dimensions. The reason for positioning the holes in different locations was also given in the rejection: relocation. Again, in the context of a surgical procedure, it would have been obvious to a person of ordinary skill in the art to have positioned the holes in the locations as noted in the claims and the rejection, as this, too, has been held to be obvious, and doing so can accompdate, for example varying patient anatomy, condition or other surgical requirements necessitating a modified

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Comstock whose telephone number is (571) 272-

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4710. Please leave a detailed voice message if examiner is unavailable. If attempts to

reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo

Robert can be reached at (571) 272-4719. The fax phone number for the organization

where this application or proceeding is assigned is 571-273-8300.

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/David Comstock/ Examiner, Art Unit 3733

/Eduardo C. Robert/

Supervisory Patent Examiner, Art Unit 3733